

2012 No. 2630

POLICE, ENGLAND AND WALES

The Police Appeals Tribunals Rules 2012

Made - - - - - *18th October 2012*

Laid before Parliament *23rd October 2012*

Coming into force - - - *22nd November 2012*

CONTENTS

1.	Citation, commencement and extent	2
2.	Revocation and transitional provisions	2
3.	Interpretation	3
4.–5.	Circumstances in which a police officer may appeal to a tribunal	4
6.–7.	Notice of appeal	5
8.	The respondent	6
9.	Procedure on notice of appeal	6
10.	Extensions of time limits	7
11.	Review of appeal	8
12.	Determination of an appeal	8
13.	Power to request disclosure of documents	8
14.	Notice of the hearing	9
15.	Legal and other representation	9
16.	Procedure and oral evidence at hearing	9
17.	Statements in lieu of oral evidence	10
18.	Hearing to be in private	10
19.	Attendance of complainant at hearing	10
20.	Attendance of IPCC at hearing	10
21.	Exclusion from hearing	11
22.	Statement of tribunal's determination	11

The Secretary of State, in exercise of the powers conferred by section 85 of the Police Act 1996(a), makes the following Rules.

(a) 1996 c. 16. Section 85 is amended by paragraph 8 of Schedule 22 to the Criminal Justice and Immigration Act 2008 (c. 4).

In accordance with section 63(3) of that Act^(a), the Secretary of State has supplied the Police Advisory Board for England and Wales with a draft of these Rules and has taken into consideration the representations of that Board.

In accordance with paragraph 24 of Schedule 7 to the Tribunals, Courts and Enforcement Act 2007^(b), the Secretary of State has consulted the Administrative Justice and Tribunals Council.

Citation, commencement and extent

1.—(1) These Rules may be cited as the Police Appeals Tribunals Rules 2012 and shall come into force on 22 November 2012.

(2) These Rules extend to England and Wales.

Revocation and transitional provisions

2.—(1) Subject to paragraph (2), the following are revoked—

(a) the Police Appeals Tribunals Rules 2008^(c); and

(b) the Police Appeals Tribunals (Amendment: Metropolitan Police) Rules 2011^(d).

(2) In relation to an appeal under section 85 of the 1996 Act against a decision made in accordance with the Police (Performance) Regulations 2008^(e) or the Police (Conduct) Regulations 2008^(f), nothing in these Rules shall have effect and the Police Appeals Tribunals Rules 2008 shall continue to have effect with the following modifications—

(a) in rule 3(1), for the definition of “relevant police authority substitute the definition of “relevant local policing body” in rule 3(1) of these Rules;

(b) for rule 8 substitute rule 8 of these Rules;

(c) in rule 19(1), for “19A1(1)” substitute “19B(1)”;

(d) for rule 22 substitute rule 22 of these Rules.

(3) Where, as a result of paragraph (2)(b), a chief officer of police replaces a person designated by a police authority as the respondent in relation to any appeal, the Police Appeals Tribunals Rules 2008 shall have effect as if anything done or treated as done by or in relation to the person designated by the police authority in his capacity as respondent had been done by the chief officer of police.

(4) In so far as they continue to apply by virtue of paragraph (2) and rule 2(2) of the Police Appeals Tribunals Rules 2008, the Police Appeals Tribunals Rules 1999 shall have effect with the following modifications—

(a) for “police authority”, in each place in the 1999 rules where those words appear with the exception of rule 3(1), substitute “local policing body”.

(b) in rule 3(1) omit the definition of “police authority”;

(c) for rule 4 substitute rule 8 of these Rules.

(5) Where, as a result of paragraph (4)(b), a chief officer of police replaces a person designated by a police authority as the respondent in relation to any appeal, the Police Appeals Tribunals Rules 1999 shall have effect if anything done or treated as done by or in relation to the person

(a) Section 63(3) was substituted by paragraph 78 of Schedule 4 to the Serious Organised Crime and Police Act 2005 (c. 15) and further amended by paragraph 68 of Schedule 1 to the Police and Justice Act 2006 (c. 48), paragraph 6 of Schedule 22 to the Criminal Justice and Immigration Act 2008 and section 10 of the Policing and Crime Act 2009 (c. 26).

(b) 2007 c. 15. Police Appeals Tribunals are a “listed tribunal” for the purposes of Schedule 7 to the Tribunals, Courts and Enforcement Act 2007 (c. 15), under S.I. 2007/2951.

(c) S.I. 2008/2863, as amended by S.I. 2011/3029.

(d) S.I. 2011/3029.

(e) S.I. 2008/2862, as amended by S.I. 2011/3027.

(f) S.I. 2008/2864, as amended by S.I. 2011/3027.

designated by the police authority in his capacity as respondent had been done by the chief officer of police.

Interpretation

3.—(1) In these Rules—

“the 2002 Act” means the Police Reform Act 2002(a);

“appellant” means a police officer who has given a notice of appeal in accordance with rule 6 or 7;

“chair” for the purposes of rules 6 to 11 means a chairman appointed under Schedule 6 to the 1996 Act(b); and for the purposes of rules 12 to 22 means the chairman of the tribunal;

“Conduct Regulations” means the Police (Conduct) Regulations 2012(c);

“IPCC” means the Independent Police Complaints Commission;

“original hearing” means—

(a) the misconduct meeting, misconduct hearing or special case hearing under the Conduct Regulations; or

(b) the third stage meeting under the Performance Regulations, at or following which the relevant decision was made;

“panel” includes a person who conducted a special case hearing under the Conduct Regulations;

“Performance Regulations” means the Police (Performance) Regulations 2012(d);

“relevant decision” means the finding, disciplinary action or outcome which may be appealed or is being appealed to a tribunal in accordance with rule 4 or 5, and related expressions shall be construed accordingly;

“relevant local policing body” means the body which maintains—

(a) the police force of which a police officer who wishes to appeal to a tribunal, or the appellant, is a member, or

(b) the police force for the police area for which a police officer who wishes to appeal to a tribunal, or the appellant, is appointed as a special constable,

as the case may be;

“relevant police force” means—

(a) where the appellant is a member of a police force, the police force of which he is a member; and

(b) where the appellant is a special constable, the police force maintained for the police area for which he is appointed;

“respondent” has the meaning given by rule 8;

“specified appeal” means an appeal where the relevant decision arose from a case where—

(a) paragraph 18 or 19 of Schedule 3 to the 2002 Act applied;

(b) paragraph 16 or 17 of Schedule 3 to the 2002 Act applied and the IPCC—

(a) 2002 c. 30. Relevant amendments were made by paragraph 11 of Schedule 2, Schedule 11 and paragraphs 11 to 24 of Schedule 12 to the Serious Organised Crime and Police Act 2005, paragraph 89 of Schedule 1 to the Police and Justice Act 2006, paragraphs 3 to 19 of Schedule 23 and Part 8 of Schedule 28 to the Criminal Justice and Immigration Act 2008 and paragraphs 5 and 8 to 22 of Schedule 14 and 300 to 302 of Schedule 16 to the Police Reform and Social Responsibility Act 2011.

(b) Schedule 6 was amended by section 125 of the Criminal Justice and Police Act 2001 (c. 16), paragraph 19 of Schedule 2 to the Police and Justice Act 2006, paragraph 27 of Schedule 10 to the Tribunals, Courts and Enforcement Act 2007, paragraph 11 of Schedule 22 to the Criminal Justice and Immigration Act 2008 and paragraph 47 of Schedule 16 to the Police Reform and Social Responsibility Act 2011 (c. 13).

(c) S.I. 2012/2632.

(d) S.I. 2012/2631.

- (i) made a recommendation under paragraph 27(3) of that Schedule (duties with respect to disciplinary proceedings) which the appropriate authority accepted; or
- (ii) gave a direction to the appropriate authority under paragraph 27(4) of that Schedule.

“tribunal” for the purposes of rules 3 to 11, means a police appeals tribunal appointed under Schedule 6 to the 1996 Act; and for the purposes of rules 12 to 22, means, in relation to an appeal, the police appeals tribunal appointed under Schedule 6 to the 1996 Act to determine that appeal.

(2) In these Rules, any expression which is also used in the Conduct Regulations or the Performance Regulations shall, unless that expression is given a different meaning in paragraph (1), have the same meaning as in those Regulations.

(3) Where any written notice or document is to be given or supplied to the appellant under these Rules, it shall be—

- (a) given to him in person; or
- (b) left with some person at, or sent by recorded delivery to, his last known address.

Circumstances in which a police officer may appeal to a tribunal

4.—(1) Subject to paragraph (3), a police officer to whom paragraph (2) applies may appeal to a tribunal in reliance on one or more of the grounds of appeal referred to in paragraph (4) against—

- (a) the finding referred to in paragraph (2)(a), (b) or (c) made under the Conduct Regulations; or
- (b) the disciplinary action, if any, imposed under the Conduct Regulations in consequence of that finding,

or both.

(2) This paragraph applies to—

- (a) an officer other than a senior officer against whom a finding of misconduct or gross misconduct has been made at a misconduct hearing; or
- (b) a senior officer against whom a finding of misconduct or gross misconduct has been made at a misconduct meeting or a misconduct hearing; or
- (c) an officer against whom a finding of gross misconduct has been made at a special case hearing.

(3) A police officer may not appeal to a tribunal against the finding referred to in paragraph (2)(a), (b) or (c) where that finding was made following acceptance by the officer that his conduct amounted to misconduct or gross misconduct (as the case may be).

(4) The grounds of appeal under this rule are—

- (a) that the finding or disciplinary action imposed was unreasonable; or
- (b) that there is evidence that could not reasonably have been considered at the original hearing which could have materially affected the finding or decision on disciplinary action; or
- (c) that there was a breach of the procedures set out in the Conduct Regulations, the Police (Complaints and Misconduct) Regulations 2012(a) or Schedule 3 to the 2002 Act, or other unfairness which could have materially affected the finding or decision on disciplinary action.

5.—(1) Subject to paragraph (3), a police officer to whom paragraph (2) applies may appeal to a tribunal in reliance on one or more of the grounds of appeal referred to in paragraph (6) against—

- (a) a finding referred to in paragraph (2) made under the Performance Regulations; or

(a) S.I. 2012/1204.

(b) an outcome which is imposed under the Performance Regulations as a consequence of such a finding and is mentioned in paragraph (4) or (5),
or both.

(2) This paragraph applies to a police officer against whom a finding of unsatisfactory performance or attendance or gross incompetence has been made at a third stage meeting.

(3) A police officer may not appeal to a tribunal against a finding referred to in paragraph (2) where that finding was made following acceptance by the officer that his performance or attendance has been unsatisfactory or that he has been grossly incompetent (as the case may be).

(4) Where there has been a finding of unsatisfactory performance or attendance following a third stage meeting which the police officer was required to attend under regulation 28 of the Performance Regulations, he may appeal against the following outcomes—

(a) dismissal with notice,

(b) reduction in rank.

(5) Where there has been a finding of gross incompetence or unsatisfactory performance following a third stage meeting which the police officer was required to attend under regulation 30 of the Performance Regulations, he may appeal against the following outcomes—

(a) dismissal without notice,

(b) reduction in rank,

(c) redeployment to alternative duties,

(d) the issue of a final written improvement notice,

(e) the issue of a written improvement notice.

(6) The grounds of appeal under this rule are—

(a) that the finding or outcome imposed was unreasonable; or

(b) that there is evidence that could not reasonably have been considered at the original hearing which could have materially affected the finding or decision on the outcome; or

(c) that there was a breach of the procedures set out in the Performance Regulations, the Police (Complaints and Misconduct) Regulations 2012 or Schedule 3 to the 2002 Act, or other unfairness which could have materially affected the finding or decision on the outcome; or

(d) that, where the police officer was required to attend the third stage meeting under regulation 28 of the Performance Regulations, he should not have been required to attend that meeting as it did not, in accordance with regulation 28(6) or 46(9) of those Regulations, concern unsatisfactory performance or attendance similar to or connected with the unsatisfactory performance or attendance referred to in the final written improvement notice.

Notice of appeal

6.—(1) Subject to rule 7, a police officer who wishes to appeal to a tribunal shall give notice of the appeal before the end of 10 working days beginning with the first working day after the day on which he is first supplied with a written copy of the relevant decision.

(2) The notice of appeal shall be given in writing to the relevant local policing body.

(3) The officer may request a transcript of the proceedings (or part of the proceedings) at the original hearing in his notice of appeal.

7.—(1) This rule applies where a police officer who wishes to appeal to a tribunal wishes to give notice of the appeal after the end of the period mentioned in rule 6(1).

(2) A police officer may give notice of the appeal within a reasonable time after the end of such period and the notice shall be accompanied by the reasons why it was not served within such period and the reasons for the officer's view that it has been served within a reasonable time after such period.

(3) Upon receipt, the relevant local policing body shall supply a copy of the notice and the reasons to the chair who shall determine—

- (a) whether it was reasonably practicable for the notice to be given within the period mentioned in rule 6(1); and
- (b) whether the notice has been given within a reasonable time after the end of such period.

(4) If the chair determines either that it was reasonably practicable for the notice to be given within such period or that the notice has not been given within a reasonable time after the end of such period, the appeal shall be dismissed.

(5) Where the appeal is not dismissed under paragraph (4), the appeal shall proceed and the chair shall give directions for the application of rule 9 to the appeal.

The respondent

8.—(1) Where the appellant is—

- (a) a chief officer of police; or
- (b) an acting chief officer,

the respondent shall be a person designated by the relevant local policing body.

(2) Where the appellant is any other police officer, the respondent shall be the chief officer of police of the relevant police force.

(3) For the purposes of paragraph (1), “acting chief officer” means—

- (a) a person exercising or performing functions of a chief constable in accordance with section 41 of the Police Reform and Social Responsibility Act 2011;
- (b) a person exercising powers or duties of the Commissioner of Police of the Metropolis in accordance with section 44 or 45(4) of that Act; or
- (c) a person exercising duties of the Commissioner of Police for the City of London in accordance with section 25 of the City of London Police Act 1839(a).

Procedure on notice of appeal

9.—(1) As soon as reasonably practicable, the relevant local policing body shall supply a copy of the notice of appeal—

- (a) to the respondent; and
- (b) where the appeal is a specified appeal, to the IPCC.

(2) As soon as reasonably practicable after receipt of a copy of the notice of appeal, and in any event before the end of 15 working days beginning with the first working day after the day of such receipt, the respondent shall supply to the relevant local policing body—

- (a) a copy of the relevant decision made at or following the original hearing provided under regulation 36 or 56 of the Conduct Regulations or regulation 43(3) of the Performance Regulations;
- (b) any documents which were made available to the person or persons conducting the original hearing; and
- (c) a copy of any transcript requested under rule 6(3).

(3) A copy of any such transcript shall at the same time be given to the appellant.

(4) The appellant shall supply the following documents to the relevant local policing body in accordance with paragraph (6)—

- (a) a statement of the relevant decision and his grounds of appeal;
- (b) any supporting documents;

(a) 2 & 3 Vict. c. xciv.

- (c) where the appellant is permitted to adduce witness evidence—
 - (i) a list of any proposed witnesses;
 - (ii) a witness statement from each proposed witness; and
 - (d) if he consents to the appeal being determined without a hearing, notice in writing that he so consents.
- (5) For the purposes of paragraph (4)(c)—
- (a) an appellant is only permitted to adduce witness evidence where he is relying on the ground of appeal set out in rule 4(4)(b) or 5(6)(b);
 - (b) a “proposed witness” is a person—
 - (i) whom the appellant wishes to call to give evidence at the hearing;
 - (ii) whose evidence was not and could not reasonably have been considered at the original hearing; and
 - (iii) whose evidence could have materially affected the relevant decision.
- (6) The appellant shall supply the documents mentioned in paragraph (4) before the end of—
- (a) 20 working days beginning with the first working day after the day on which he is supplied with a copy of the transcript under paragraph (3); or
 - (b) where no transcript has been requested under rule 6(3), 35 working days beginning with the first working day after the day on which he gave notice of the appeal to the relevant local policing body.
- (7) The relevant local policing body shall give a copy of the documents supplied under paragraph (4) to the respondent as soon as practicable following receipt.
- (8) The respondent shall, before the end of 20 working days beginning with the first working day after the day on which he receives the documents given to him under paragraph (7), supply to the relevant local policing body—
- (a) a statement of his response to the appeal;
 - (b) any supporting documents;
 - (c) where the respondent is permitted to adduce witness evidence—
 - (i) a list of any proposed witnesses;
 - (ii) a witness statement from each proposed witness; and
 - (d) if he consents to the appeal being determined without a hearing, notice that he so consents.
- (9) For the purposes of paragraph (8)(c)—
- (a) a respondent is only permitted to adduce witness evidence where the appellant is relying on the ground of appeal set out in rule 4(4)(b) or 5(6)(b);
 - (b) a “proposed witness” is a person—
 - (i) whom the respondent wishes to call to give evidence at the hearing; and
 - (ii) whose evidence is relevant to all or part of the evidence on which the appellant is relying for the purposes of rule 4(4)(b) or 5(6)(b).
- (10) The respondent shall at the same time as supplying the documents referred to in paragraph (8), give the appellant a copy of the documents referred to in paragraph (8)(a), (c) and (d), together with a list of the documents (if any) supplied under paragraph (8)(b).
- (11) On receipt of the documents supplied under paragraph (8), the relevant local policing body shall give to the chair a copy of the documents supplied under paragraphs (4) and (8).

Extensions of time limits

10.—(1) The appellant or the respondent may apply to the relevant local policing body for an extension of a relevant period.

(2) Any such application shall set out the period of the required extension and the reasons for the application.

(3) As soon as practicable after receipt of an application under paragraph (1), the relevant local policing body shall—

- (a) give a copy of the application to the other party (being the appellant or the respondent as the case may be); and
- (b) ask that other party whether he consents to the application.

(4) If the other party consents to the application, the relevant period shall be extended in accordance with the application and rule 9 shall have effect as if for that period there were substituted the extended period.

(5) If the other party does not consent to the application, the application shall be referred to the chair who shall determine whether the relevant period should be extended and if so by how long; and where he extends the relevant period, rule 9 shall have effect as if for that period there were substituted the extended period.

(6) In this rule, “relevant period” means, in relation to an application by the appellant, the period referred to in rule 9(6)(a) or (b) and, in relation to an application by the respondent, the period referred to in rule 9(2) or (8).

Review of appeal

11.—(1) Upon receipt of the documents mentioned in rule 9(4) and (8), the chair shall determine whether the appeal should be dismissed under paragraph (2).

(2) An appeal shall be dismissed under this paragraph if the chair considers that—

- (a) the appeal has no real prospect of success; and
- (b) there is no other compelling reason why the appeal should proceed.

(3) If the chair considers that the appeal should be dismissed under paragraph (2), before making his determination, he shall give the appellant and the respondent notice in writing of his view together with the reasons for that view.

(4) The appellant and the respondent may make written representations in response to the chair before the end of 10 working days beginning with the first working day after the day of receipt of such notification; and the chair shall consider any such representations before making his determination.

(5) The chair shall give the appellant, the respondent and the relevant local policing body notice in writing of his determination.

(6) Where the chair determines that the appeal should be dismissed under paragraph (2)—

- (a) the notification under paragraph (5) shall include the reasons for the determination; and
- (b) the appeal shall be dismissed.

Determination of an appeal

12.—(1) Where an appeal has not been dismissed under rule 11, the chair shall determine whether the appeal should be dealt with at a hearing.

(2) The chair may determine that the appeal shall be dealt with without a hearing, but only if the appellant has so consented.

(3) Where the appeal is to be dealt with at a hearing, rules 13 to 21 shall apply and the chair shall give the appellant and the respondent his name and contact address.

Power to request disclosure of documents

13.—(1) At any time following the provision of the documents mentioned in rule 9(4) and (8), the appellant or the respondent (the “requesting party”) may apply to the chair for disclosure of any document by the other party which is relevant to the appeal.

(2) The chair may request the disclosure of any such document by the other party and where it is disclosed, a copy shall be given to the chair and to the requesting party.

(3) Where a party does not comply with a request to disclose under paragraph (2), he shall give the chair and the requesting party his reasons for non-disclosure in writing.

Notice of the hearing

14.—(1) The chair shall cause the appellant and the respondent to be given written notice of the date, time and place of the hearing at least 20 working days, or such shorter period as may with the agreement of both parties be determined, before the date of the hearing.

(2) Where—

(a) the appellant is relying on the ground of appeal set out in rule 4(4)(b) or 5(6)(b); and

(b) either the appellant or the respondent (or both) have proposed witnesses under rule 9, the chair shall determine which, if any, witnesses shall give evidence at the hearing.

(3) No witness shall give evidence at the hearing unless the chair reasonably believes that it is necessary for the witness to do so, in which case the chair shall—

(a) where the witness is a police officer, cause that person to be ordered to attend the hearing; and

(b) in any other case, cause the witness to be given notice that his attendance is necessary and of the date, time and place of the hearing.

Legal and other representation

15.—(1) The appellant has the right to be represented at a hearing by a relevant lawyer or a police friend.

(2) Where the appellant is represented at the hearing by a relevant lawyer, he may also be accompanied at the hearing by a police friend.

(3) If an appellant chooses not to be represented, the hearing may take place and the appeal may be determined without him being represented.

(4) The respondent has the right to be represented at a hearing by a relevant lawyer or by an officer of the police force or by the chief executive or other officer or employee of the relevant local policing body.

(5) Where a police friend is a police officer or a police staff member, the chief officer of police of the force of which the police friend is a member shall permit him to use a reasonable amount of duty time for the purposes referred to in this rule.

(6) The reference in paragraph (5) to the force of which the police friend is a member shall include a reference to the force maintained for the police area for which a special constable is appointed and the force in which a police staff member is serving.

Procedure and oral evidence at hearing

16.—(1) Subject to these Rules, the procedure at a hearing shall be determined by the tribunal.

(2) The tribunal may proceed with the hearing in the absence of either party, whether represented or not, if it appears to be just and proper to do so, and may adjourn it from time to time if it appears necessary to do so.

(3) Unless the tribunal determines otherwise, the evidence adduced by the appellant shall be given first.

(4) Witnesses giving evidence at the hearing may be subject to questioning and cross-questioning.

(5) Any question as to whether any evidence is admissible, or whether any question should or should not be put to a witness, shall be determined by the tribunal.

(6) A verbatim record of the evidence given at the hearing shall be taken; and the relevant local policing body shall keep such record for a period of not less than two years from the date of the end of the hearing.

Statements in lieu of oral evidence

17.—(1) Subject to the provisions of this rule, the tribunal may admit as evidence a witness statement of a proposed witness supplied under rule 9(4) or (8), notwithstanding that he is not to be called as a witness at the hearing.

(2) Evidence shall not be admissible under this rule if it would not have been admissible had it been given orally.

(3) For the purposes of this rule, a written statement purporting to be made and signed by a person and witnessed by another person shall be presumed to have been made by that person unless the contrary be shown.

(4) Nothing in this rule shall prejudice the admission of written evidence which would be admissible apart from the provisions of this rule.

Hearing to be in private

18.—(1) Subject to paragraph (2) and rules 19 and 20, the hearing shall be held in private.

(2) The tribunal may allow a person to attend all or part of the hearing as an observer for the purposes of training.

Attendance of complainant at hearing

19.—(1) This rule shall apply in relation to a hearing where the relevant decision arose from a complaint which was certified as subject to special requirements under paragraph 19B(1) of Schedule 3 to the 2002 Act^(a).

(2) The chair shall cause notice of the date, time and place of the hearing to be given to the complainant or any interested person at the same time as such notice is given to the appellant and the respondent under rule 14(1).

(3) Subject to the provisions of this rule and rule 21, the complainant or any interested person (or both) may attend the hearing as an observer.

(4) Subject to the provisions of this rule and rule 21, a complainant or interested person may be accompanied by one other person, and if the complainant or interested person has a special need, by one further person to accommodate that need.

(5) Where—

(a) a complainant,

(b) an interested person, or

(c) any person accompanying a complainant or an interested person

is a proposed witness (of either party) and is to give evidence at the hearing, none of the persons mentioned in subparagraphs (a) to (c) shall be allowed to attend the hearing before that evidence is given.

(6) The chair may, at his discretion, put any questions to the appellant that the complainant or interested person request be put to him.

Attendance of IPCC at hearing

20.—(1) This rule shall apply to a specified appeal.

(a) Paragraph 19B was inserted by paragraph 5 of Schedule 23 to the Criminal Justice and Immigration Act 2008.

(2) The chair shall cause notice of the date, time and place of the hearing to be given to the IPCC at the same time as such notice is given to the appellant and the respondent under rule 14(1).

(3) The IPCC may attend the hearing as an observer.

Exclusion from hearing

21.—(1) On the application of the appellant or the respondent or otherwise, the chair may require any observer to withdraw from all or any part of the hearing.

(2) The chair may impose such conditions as he sees fit relating to the attendance of an observer (or any person accompanying a complainant or interested person) at the hearing in order to facilitate the proper conduct of the hearing.

Statement of tribunal's determination

22.—(1) The tribunal shall determine whether the ground or grounds of appeal on which the appellant relies have been made out.

(2) Where the tribunal determines that a ground of appeal under rule 4(4)(b) or (c) or rule 5(6)(b) or (c) has been made out, the tribunal may set aside the relevant decision and remit the matter to be decided again in accordance with the relevant provisions of the Conduct Regulations or the Performance Regulations (as the case may be).

(3) Where the tribunal remits the matter under paragraph (2) and the relevant decision was the decision of a panel (“the original panel”), the matter shall be decided by a fresh panel which is constituted in accordance with the relevant provisions of the Conduct Regulations or the Performance Regulations (as the case may be) but does not contain any of the members of the original panel.

(4) The determination of the tribunal shall be based on a simple majority but shall not indicate whether it was taken unanimously or by a majority.

(5) The chair shall prepare a written statement of the tribunal's determination of the appeal and of the reasons for the decision.

(6) As soon as reasonably practicable after the determination of the appeal the chair shall cause the appellant, the respondent and the local policing body to be given a copy of such statement; but, in any event, the appellant shall be given written notice of the decision of the tribunal before the end of 3 working days beginning with the first working day after the day on which the appeal is determined.

(7) Where the relevant decision arose from a complaint which was certified as subject to special requirements under paragraph 19B(1) of Schedule 3 to the 2002 Act, the relevant local policing body shall notify the complainant and any interested party of the decision of the tribunal.

(8) Where the appeal is a specified appeal, the relevant local policing body shall notify the IPCC of the decision of the tribunal.

Home Office
18th October 2012

Damian Green
Minister of State

EXPLANATORY NOTE

(This note is not part of the Rules)

These Rules revoke and re-enact (with some modifications) the Police Appeals Tribunals Rules 2008 (S.I. 2008/2863 – “the 2008 Rules”), as amended by the Police Appeals Tribunals (Amendment: Metropolitan Police) Rules 2011 (S.I. 2011/3029 – “the 2011 Rules”).

The numbering and headings in the 2008 Rules are retained in these Rules. The changes made to the content of the 2008 Rules are as follows.

Where the 2008 Rules referred to police authorities, these Rules refer to local policing bodies. Section 1 of the Police Reform and Social Responsibility Act 2011 (“the 2011 Act”) abolishes police authorities outside London and replaces them with police and crime commissioners. These commissioners, together with the Mayor’s Office for Policing and Crime (which replaced the Metropolitan Police Authority as the body responsible for maintaining the Metropolitan Police, with effect from 16th January 2012) and the Common Council (which retains its functions as the police authority for the City of London police area), are known collectively as local policing bodies (see section 97(2) of the 2011 Act, which amends Schedule 1 to the Interpretation Act 1978).

The definition of “specified appeal” in rule 3(1) of these Rules is changed in order to create consistency with the regulations governing police misconduct and performance proceedings. The significance of this is that, in the case of a specified appeal, the Independent Police Complaints Commission is supplied with the notice of appeal, notified of the hearing, allowed to attend as an observer and notified of the tribunal’s decision.

Rule 8 of these Rules provides for the local policing body to designate a person to act as respondent in a case where the appellant is a chief officer of police, or a person carrying out the duties of the chief officer under specified statutory provisions. Under rule 8 of the 2008 Rules, the police authority designated a person to act as respondent in any appeal by a senior officer. The effect of this change is that the chief officer becomes the respondent in relation to an appeal by any police officer other than the chief officer himself or an acting chief officer (the chief officer is already the respondent in appeals by police officers other than senior officers).

Rule 2 of these Rules ensures that action taken by a person designated by a police authority as respondent before the coming into force of these Rules remains valid when the person is replaced as respondent by the chief officer of police by virtue of the new Rule 8. The 2011 Act itself contains provision to ensure the validity of action taken by police authorities on their replacement by the new policing bodies, so there is no need for these Rules to make provision in that regard (see paragraph 21 of Schedule 15 to the 2011 Act).

Rule 22(2) and (3) of these Rules make new provision in relation to the situation where a Tribunal determines that there is fresh evidence, or that there was a procedural default or other unfairness, that could have materially affected the decision appealed against. In this situation, under section 85(2) of the Police Act 1996 the Tribunal may deal with the appellant in any way that he could have been dealt with by the maker of the decision appealed against. But the Tribunal, which will not have heard all of the evidence, will not be well placed to determine how the matter should have been decided had the fresh evidence been available in the original proceedings or the procedural failure or other unfairness had not occurred. Rule 22(2) and (3) allows the Tribunal to remit the matter for re-hearing in these circumstances. Where the original decision was made by a panel, the re-hearing will be before a fresh panel.

Further minor changes are made to the content of the 2008 Rules to reflect the making of new Regulations in respect of police complaints, conduct and performance and to correct some errors.

© Crown copyright 2012

Printed and published in the UK by The Stationery Office Limited under the authority and superintendence of Carol Tullo, Controller of Her Majesty’s Stationery Office and Queen’s Printer of Acts of Parliament.

£5.75

E4773 10/2012 124773T 19585

ISBN 978-0-11-152984-3



9 780111 529843