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ANIMALS



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Convention

on International Trade in endangered Species of Wild Fauna and Flora

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*Presented to Parliament
by the Secretary of State for Foreign and Commonwealth Affairs
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**CONVENTION
ON INTERNATIONAL TRADE IN ENDANGERED SPECIES
OF WILD FAUNA AND FLORA**

The Contracting States,

Recognizing that the wild fauna and flora in their many beautiful and varied forms are an irreplaceable part of the natural systems of the earth which must be protected for this and the generations to come;

Conscious of the ever-growing value of wild fauna and flora from aesthetic, scientific, cultural, recreational and economic points of view;

Recognizing that peoples and States are and should be the best protectors of their own wild fauna and flora;

Recognizing, in addition, that international cooperation is essential for the protection of certain species of wild fauna and flora against over-exploitation through international trade;

Convinced of the urgency of taking appropriate measures to this end;
Have agreed as follows :

ARTICLE I

Definitions

For the purpose of the present Convention, unless the context otherwise requires :

- (a) "Species" means any species, subspecies, or geographically separate population thereof;
- (b) "Specimen" means :
 - (i) any animal or plant, whether alive or dead;
 - (ii) in the case of an animal: for species included in Appendices I and II, any readily recognizable part or derivative thereof; and for species included in Appendix III, any readily recognizable part or derivative thereof specified in Appendix III in relation to the species; and
 - (iii) in the case of a plant: for species included in Appendix I, any readily recognizable part or derivative thereof; and for species included in Appendices II and III, any readily recognizable part or derivative thereof specified in Appendices II and III in relation to the species;
- (c) "Trade" means export, re-export, import and introduction from the sea;
- (d) "Re-export" means export of any specimen that has previously been imported;
- (e) "Introduction from the sea" means transportation into a State of specimens of any species which were taken in the marine environment not under the jurisdiction of any State;
- (f) "Scientific Authority" means a national scientific authority designated in accordance with Article IX;
- (g) "Management Authority" means a national management authority designated in accordance with Article IX;
- (h) "Party" means a State for which the present Convention has entered into force.

ARTICLE II

Fundamental Principles

1. Appendix I shall include all species threatened with extinction which are or may be affected by trade. Trade in specimens of these species must be subject to particularly strict regulation in order not to endanger further their survival and must only be authorized in exceptional circumstances.
2. Appendix II shall include:
 - (a) all species which although not necessarily now threatened with extinction may become so unless trade in specimens of such species is subject to strict regulation in order to avoid utilization incompatible with their survival; and
 - (b) other species which must be subject to regulation in order that trade in specimens of certain species referred to in sub-paragraph (a) of this paragraph may be brought under effective control.
3. Appendix III shall include all species which any Party identifies as being subject to regulation within its jurisdiction for the purpose of preventing or restricting exploitation, and as needing the cooperation of other Parties in the control of trade.
4. The Parties shall not allow trade in specimens of species included in Appendices I, II and III except in accordance with the provisions of the present Convention.

ARTICLE III

Regulation of Trade in Specimens of Species included in Appendix I

1. All trade in specimens of species included in Appendix I shall be in accordance with the provisions of this Article.
2. The export of any specimen of a species included in Appendix I shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:
 - (a) a Scientific Authority of the State of export has advised that such export will not be detrimental to the survival of that species;
 - (b) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora;
 - (c) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and
 - (d) a Management Authority of the State of export is satisfied that an import permit has been granted for the specimen.
3. The import of any specimen of a species included in Appendix I shall require the prior grant and presentation of an import permit and either an export permit or a re-export certificate. An import permit shall only be granted when the following conditions have been met:
 - (a) a Scientific Authority of the State of import has advised that the import will be for purposes which are not detrimental to the survival of the species involved;

- (b) a Scientific Authority of the State of import is satisfied that the proposed recipient of a living specimen is suitably equipped to house and care for it; and
- (c) a Management Authority of the State of import is satisfied that the specimen is not to be used for primarily commercial purposes.

4. The re-export of any specimen of a species included in Appendix I shall require the prior grant and presentation of a re-export certificate. A re-export certificate shall only be granted when the following conditions have been met:

- (a) a Management Authority of the State of re-export is satisfied that the specimen was imported into that State in accordance with the provisions of the present Convention;
- (b) a Management Authority of the State of re-export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and
- (c) a Management Authority of the State of re-export is satisfied that an import permit has been granted for any living specimen.

5. The introduction from the sea of any specimen of a species included in Appendix I shall require the prior grant of a certificate from a Management Authority of the State of introduction. A certificate shall only be granted when the following conditions have been met:

- (a) a Scientific Authority of the State of introduction advises that the introduction will not be detrimental to the survival of the species involved;
- (b) a Management Authority of the State of introduction is satisfied that the proposed recipient of a living specimen is suitably equipped to house and care for it; and
- (c) a Management Authority of the State of introduction is satisfied that the specimen is not to be used for primarily commercial purposes.

ARTICLE IV

Regulation of Trade in Specimens of Species included in Appendix II

1. All trade in specimens of species included in Appendix II shall be in accordance with the provisions of this Article.

2. The export of any specimen of a species included in Appendix II shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:

- (a) a Scientific Authority of the State of export has advised that such export will not be detrimental to the survival of that species;

- (b) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora; and
- (c) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.

3. A Scientific Authority in each Party shall monitor both the export permits granted by that State for specimens of species included in Appendix II and the actual exports of such specimens. Whenever a Scientific Authority determines that the export of specimens of any such species should be limited in order to maintain that species throughout its range at a level consistent with its role in the ecosystems in which it occurs and well above the level at which that species might become eligible for inclusion in Appendix I, the Scientific Authority shall advise the appropriate Management Authority of suitable measures to be taken to limit the grant of export permits for specimens of that species.

4. The import of any specimen of a species included in Appendix II shall require the prior presentation of either an export permit or a re-export certificate.

5. The re-export of any specimen of a species included in Appendix II shall require the prior grant and presentation of a re-export certificate. A re-export certificate shall only be granted when the following conditions have been met:

- (a) a Management Authority of the State of re-export is satisfied that the specimen was imported into that State in accordance with the provisions of the present Convention; and
- (b) a Management Authority of the State of re-export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.

6. The introduction from the sea of any specimen of a species included in Appendix II shall require the prior grant of a certificate from a Management Authority of the State of introduction. A certificate shall only be granted when the following conditions have been met:

- (a) a Scientific Authority of the State of introduction advises that the introduction will not be detrimental to the survival of the species involved; and
- (b) a Management Authority of the State of introduction is satisfied that any living specimen will be so handled as to minimize the risk of injury, damage to health or cruel treatment.

7. Certificates referred to in paragraph 6 of this Article may be granted on the advice of a Scientific Authority, in consultation with other national scientific authorities or, when appropriate, international scientific authorities, in respect of periods not exceeding one year for total numbers of specimens to be introduced in such periods.

ARTICLE V

Regulation of Trade in Specimens of Species included in Appendix III

1. All trade in specimens of species included in Appendix III shall be in accordance with the provisions of this Article.

2. The export of any specimen of a species included in Appendix III from any State which has included that species in Appendix III shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:

- (a) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora; and
- (b) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.

3. The import of any specimen of a species included in Appendix III shall require, except in circumstances to which paragraph 4 of this Article applies, the prior presentation of a certificate of origin and, where the import is from a State which has included that species in Appendix III, an export permit.

4. In the case of re-export, a certificate granted by the Management Authority of the State of re-export that the specimen was processed in that State, or is being re-exported shall be accepted by the State of import as evidence that the provisions of the present Convention have been complied with in respect of the specimen concerned.

ARTICLE VI

Permits and Certificates

1. Permits and certificates granted under the provisions of Articles III, IV and V shall be in accordance with the provisions of this Article.

2. An export permit shall contain the information specified in the model set forth in Appendix IV, and may only be used for export within a period of six months from the date on which it was granted.

3. Each permit or certificate shall contain the title of the present Convention, the name and any identifying stamp of the Management Authority granting it and a control number assigned by the Management Authority.

4. Any copies of a permit or certificate issued by a Management Authority shall be clearly marked as copies only and no such copy may be used in place of the original, except to the extent endorsed thereon.

5. A separate permit or certificate shall be required for each consignment of specimens.

6. A Management Authority of the State of import of any specimen shall cancel and retain the export permit or re-export certificate and any corresponding import permit presented in respect of the import of that specimen.

7. Where appropriate and feasible a Management Authority may affix a mark upon any specimen to assist in identifying the specimen. For these purposes "mark" means any indelible imprint, lead seal or other suitable means of identifying a specimen, designed in such a way as to render its imitation by unauthorized persons as difficult as possible.

ARTICLE VII

Exemptions and Other Special Provisions Relating to Trade

1. The provisions of Articles III, IV and V shall not apply to the transit or trans-shipment of specimens through or in the territory of a Party while the specimens remain in Customs control.

2. Where a Management Authority of the State of export or re-export is satisfied that a specimen was acquired before the provisions of the present Convention applied to that specimen, the provisions of Articles III, IV and V shall not apply to that specimen where the Management Authority issues a certificate to that effect.

3. The provisions of Articles III, IV and V shall not apply to specimens that are personal or household effects. This exemption shall not apply where:

(a) in the case of specimens of a species included in Appendix I, they were acquired by the owner outside his State of usual residence, and are being imported into that State; or

(b) in the case of specimens of species included in Appendix II:

(i) they were acquired by the owner outside his State of usual residence and in a State where removal from the wild occurred;

(ii) they are being imported into the owner's State of usual residence; and

(iii) the State where removal from the wild occurred requires the prior grant of export permits before any export of such specimens;

unless a Management Authority is satisfied that the specimens were acquired before the provisions of the present Convention applied to such specimens.

4. Specimens of an animal species included in Appendix I bred in captivity for commercial purposes, or of a plant species included in Appendix I artificially propagated for commercial purposes, shall be deemed to be specimens of species included in Appendix II.

5. Where a Management Authority of the State of export is satisfied that any specimen of an animal species was bred in captivity or any specimen of a plant species was artificially propagated, or is a part of such an animal or plant or was derived therefrom, a certificate by that Management Authority to that effect shall be accepted in lieu of any of the permits or certificates required under the provisions of Articles III, IV or V.

6. The provisions of Articles III, IV and V shall not apply to the non-commercial loan, donation or exchange between scientists or scientific institutions registered by a Management Authority of their State, of herbarium

specimens, other preserved, dried or embedded museum specimens, and live plant material which carry a label issued or approved by a Management Authority.

7. A Management Authority of any State may waive the requirements of Articles III, IV and V and allow the movement without permits or certificates of specimens which form part of a travelling zoo, circus, menagerie, plant exhibition or other travelling exhibition provided that:

- (a) the exporter or importer registers full details of such specimens with that Management Authority;
- (b) the specimens are in either of the categories specified in paragraphs 2 or 5 of this Article; and
- (c) the Management Authority is satisfied that any living specimen will be so transported and cared for as to minimize the risk of injury, damage to health or cruel treatment.

ARTICLE VIII

Measures to be Taken by the Parties

1. The Parties shall take appropriate measures to enforce the provisions of the present Convention and to prohibit trade in specimens in violation thereof. These shall include measures:

- (a) to penalize trade in, or possession of, such specimens, or both; and
- (b) to provide for the confiscation or return to the State of export of such specimens.

2. In addition to the measures taken under paragraph 1 of this Article, a Party may, when it deems it necessary, provide for any method of internal reimbursement for expenses incurred as a result of the confiscation of a specimen traded in violation of the measures taken in the application of the provisions of the present Convention.

3. As far as possible, the Parties shall ensure that specimens shall pass through any formalities required for trade with a minimum of delay. To facilitate such passage, a Party may designate ports of exit and ports of entry at which specimens must be presented for clearance. The Parties shall ensure further that all living specimens, during any period of transit, holding or shipment, are properly cared for so as to minimize the risk of injury, damage to health or cruel treatment.

4. Where a living specimen is confiscated as a result of measures referred to in paragraph 1 of this Article:

- (a) the specimen shall be entrusted to a Management Authority of the State of confiscation;
- (b) the Management Authority shall, after consultation with the State of export, return the specimen to that State at the expense of that State, or to a rescue centre or such other place as the Management Authority deems appropriate and consistent with the purposes of the present Convention; and

(c) the Management Authority may obtain the advice of a Scientific Authority, or may, whenever it considers it desirable, consult the Secretariat in order to facilitate the decision under sub-paragraph (b) of this paragraph, including the choice of a rescue centre or other place.

5. A rescue centre as referred to in paragraph 4 of this Article means an institution designated by a Management Authority to look after the welfare of living specimens, particularly those that have been confiscated.

6. Each Party shall maintain records of trade in specimens of species included in Appendices I, II and III which shall cover:

(a) the names and addresses of exporters and importers; and

(b) the number and type of permits and certificates granted; the States with which such trade occurred; the numbers or quantities and types of specimens, names of species as included in Appendices I, II and III and, where applicable, the size and sex of the specimens in question.

7. Each Party shall prepare periodic reports on its implementation of the present Convention and shall transmit to the Secretariat:

(a) an annual report containing a summary of the information specified in sub-paragraph (b) of paragraph 6 of this Article; and

(b) a biennial report on legislative, regulatory and administrative measures taken to enforce the provisions of the present Convention.

8. The information referred to in paragraph 7 of this Article shall be available to the public where this is not inconsistent with the law of the Party concerned.

ARTICLE IX

Management and Scientific Authorities

1. Each Party shall designate for the purposes of the present Convention:

(a) one or more Management Authorities competent to grant permits or certificates on behalf of that Party; and

(b) one or more Scientific Authorities.

2. A State depositing an instrument of ratification, acceptance, approval or accession shall at that time inform the Depositary Government of the name and address of the Management Authority authorized to communicate with other Parties and with the Secretariat.

3. Any changes in the designations or authorizations under the provisions of this Article shall be communicated by the Party concerned to the Secretariat for transmission to all other Parties.

4. Any Management Authority referred to in paragraph 2 of this Article shall if so requested by the Secretariat or the Management Authority of another Party, communicate to it impression of stamps, seals or other devices used to authenticate permits or certificates.

ARTICLE X

Trade with States not Party to the Convention

Where export or re-export is to, or import is from, a State not a Party to the present Convention, comparable documentation issued by the competent authorities in that State which substantially conforms with the requirements of the present Convention for permits and certificates may be accepted in lieu thereof by any Party.

ARTICLE XI

Conference of the Parties

1. The Secretariat shall call a meeting of the Conference of the Parties not later than two years after the entry into force of the present Convention.

2. Thereafter the Secretariat shall convene regular meetings at least once every two years, unless the Conference decides otherwise, and extraordinary meetings at any time on the written request of at least one-third of the Parties.

3. At meetings, whether regular or extraordinary, the Parties shall review the implementation of the present Convention and may:

- (a) make such provision as may be necessary to enable the Secretariat to carry out its duties;
- (b) consider and adopt amendments to Appendices I and II in accordance with Article XV;
- (c) review the progress made towards the restoration and conservation of the species included in Appendices I, II and III;
- (d) receive and consider any reports presented by the Secretariat or by any Party; and
- (e) where appropriate, make recommendations for improving the effectiveness of the present Convention.

4. At each regular meeting, the Parties may determine the time and venue of the next regular meeting to be held in accordance with the provisions of paragraph 2 of this Article.

5. At any meeting, the Parties may determine and adopt rules of procedure for the meeting.

6. The United Nations, its Specialized Agencies and the International Atomic Energy Agency, as well as any State not a Party to the present Convention, may be represented at meetings of the Conference by observers, who shall have the right to participate but not to vote.

7. Any body or agency technically qualified in protection, conservation or management of wild fauna and flora, in the following categories, which has informed the Secretariat of its desire to be represented at meetings of the Conference by observers, shall be admitted unless at least one-third of the Parties present object:

- (a) international agencies or bodies, either governmental or non-governmental, and national governmental agencies and bodies; and

- (b) national non-governmental agencies or bodies which have been approved for this purpose by the State in which they are located. Once admitted, these observers shall have the right to participate but not to vote.

ARTICLE XII

The Secretariat

1. Upon entry into force of the present Convention, a Secretariat shall be provided by the Executive Director of the United Nations Environment Programme. To the extent and in the manner he considers appropriate, he may be assisted by suitable inter-governmental or non-governmental international or national agencies and bodies technically qualified in protection, conservation and management of wild fauna and flora.

2. The functions of the Secretariat shall be:

- (a) to arrange for and service meetings of the Parties;
- (b) to perform the functions entrusted to it under the provisions of Articles XV and XVI of the present Convention;
- (c) to undertake scientific and technical studies in accordance with programmes authorized by the Conference of the Parties as will contribute to the implementation of the present Convention, including studies concerning standards for appropriate preparation and shipment of living specimens and the means of identifying specimens;
- (d) to study the reports of Parties and to request from Parties such further information with respect thereto as it deems necessary to ensure implementation of the present Convention;
- (e) to invite the attention of the Parties to any matter pertaining to the aims of the present Convention;
- (f) to publish periodically and distribute to the Parties current editions of Appendices I, II and III together with any information which will facilitate identification of specimens of species included in those Appendices;
- (g) to prepare annual reports to the Parties on its work and on the implementation of the present Convention and such other reports as meetings of the Parties may request;
- (h) to make recommendations for the implementation of the aims and provisions of the present Convention, including the exchange of information of a scientific or technical nature;
- (i) to perform any other function as may be entrusted to it by the Parties.

ARTICLE XIII

International Measures

1. When the Secretariat in the light of information received is satisfied that any species included in Appendices I or II is being affected adversely by trade in specimens of that species or that the provisions of the present Convention are not being effectively implemented, it shall communicate such information to the authorized Management Authority of the Party or Parties concerned.

2. When any Party receives a communication as indicated in paragraph 1 of this Article, it shall, as soon as possible, inform the Secretariat of any relevant facts insofar as its laws permit and, where appropriate, propose remedial action. Where the Party considers that an inquiry is desirable, such inquiry may be carried out by one or more persons expressly authorized by the Party.

3. The information provided by the Party or resulting from any inquiry as specified in paragraph 2 of this Article shall be reviewed by the next Conference of the Parties which may make whatever recommendations it deems appropriate.

ARTICLE XIV

Effect on Domestic Legislation and International Conventions

1. The provisions of the present Convention shall in no way affect the right of Parties to adopt:

- (a) stricter domestic measures regarding the conditions for trade, taking, possession, or transport of specimens of species included in Appendices I, II and III, or the complete prohibition thereof; or
- (b) domestic measures restricting or prohibiting trade, taking, possession, or transport of species not included in Appendices I, II or III.

2. The provisions of the present Convention shall in no way affect the provisions of any domestic measures or the obligations of Parties deriving from any treaty, convention, or international agreement relating to other aspects of trade, taking, possession, or transport of specimens which is in force or subsequently may enter into force for any Party including any measure pertaining to the Customs, public health, veterinary or plant quarantine fields.

3. The provisions of the present Convention shall in no way affect the provisions of, or the obligations deriving from, any treaty, convention or international agreement concluded or which may be concluded between States creating a union or regional trade agreement establishing or maintaining a common external customs control and removing customs control between the parties thereto insofar as they relate to trade among the States members of that union or agreement.

4. A State Party to the present Convention, which is also a Party to any other treaty, convention or international agreement which is in force at the time of the coming into force of the present Convention and under the provisions of which protection is afforded to marine species included in Appendix II, shall be relieved of the obligations imposed on it under the provisions of the present Convention with respect to trade in specimens of species included in Appendix II that are taken by ships registered in that State and in accordance with the provisions of such other treaty, convention or international agreement.

5. Notwithstanding the provisions of Articles III, IV and V, any export of a specimen taken in accordance with paragraph 4 of this Article shall only require a certificate from a Management Authority of the State of introduction to the effect that the specimen was taken in accordance with the provisions of the other treaty, convention or international agreement in question.

6. Nothing in the present Convention shall prejudice the codification and development of the law of the sea by the United Nations Conference on the Law of the Sea convened pursuant to Resolution 2750 C (XXV) of the General Assembly of the United Nations nor the present or future claims and legal views of any State concerning the law of the sea and the nature and extent of coastal and flag State jurisdiction.

ARTICLE XV

Amendments to Appendices I and II

1. The following provisions shall apply in relation to amendments to Appendices I and II at meetings of the Conference of the Parties:

- (a) Any Party may propose an amendment to Appendix I or II for consideration at the next meeting. The text of the proposed amendment shall be communicated to the Secretariat at least 150 days before the meeting. The Secretariat shall consult the other Parties and interested bodies on the amendment in accordance with the provisions of sub-paragraphs (b) and (c) of paragraph 2 of this Article and shall communicate the response to all Parties not later than 30 days before the meeting.
- (b) Amendments shall be adopted by a two-thirds majority of Parties present and voting. For these purposes "Parties present and voting" means Parties present and casting an affirmative or negative vote. Parties abstaining from voting shall not be counted among the two-thirds required for adopting an amendment.
- (c) Amendments adopted at a meeting shall enter into force 90 days after that meeting for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.

2. The following provisions shall apply in relation to amendments to Appendices I and II between meetings of the Conference of the Parties:

- (a) Any Party may propose an amendment to Appendix I or II for consideration between meetings by the postal procedures set forth in this paragraph.
- (b) For marine species, the Secretariat shall, upon receiving the text of the proposed amendment, immediately communicate it to the Parties. It shall also consult inter-governmental bodies having a function in relation to those species especially with a view to obtaining scientific data these bodies may be able to provide and to ensuring co-ordination with any conservation measures enforced by such bodies. The Secretariat shall communicate the views expressed and data provided by these bodies and its own findings and recommendations to the Parties as soon as possible.
- (c) For species other than marine species, the Secretariat shall, upon receiving the text of the proposed amendment, immediately communicate it to the Parties, and, as soon as possible thereafter, its own recommendations.
- (d) Any Party may, within 60 days of the date on which the Secretariat communicated its recommendations to the Parties under sub-paragraphs (b) or (c) of this paragraph, transmit to the Secretariat any comments on the proposed amendment together with any relevant scientific data and information.
- (e) The Secretariat shall communicate the replies received together with its own recommendations to the Parties as soon as possible.
- (f) If no objection to the proposed amendment is received by the Secretariat within 30 days of the date the replies and recommendations were communicated under the provisions of sub-paragraph (e) of this paragraph, the amendment shall enter into force 90 days later for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.
- (g) If an objection by any Party is received by the Secretariat, the proposed amendment shall be submitted to a postal vote in accordance with the provisions of sub-paragraphs (h), (i) and (j) of this paragraph.
- (h) The Secretariat shall notify the Parties that notification of objection has been received.
- (i) Unless the Secretariat receives the votes for, against or in abstention from at least one-half of the Parties within 60 days of the date of notification under sub-paragraph (h) of this paragraph, the proposed amendment shall be referred to the next meeting of the Conference for further consideration.
- (j) Provided that votes are received from one-half of the Parties, the amendment shall be adopted by a two-thirds majority of Parties casting an affirmative or negative vote.

(k) The Secretariat shall notify all Parties of the result of the vote.

(l) If the proposed amendment is adopted it shall enter into force 90 days after the date of the notification by the Secretariat of its acceptance for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.

3. During the period of 90 days provided for by sub-paragraph (c) of paragraph 1 or sub-paragraph (l) of paragraph 2 of this Article any Party may by notification in writing to the Depository Government make a reservation with respect to the amendment. Until such reservation is withdrawn the Party shall be treated as a State not a Party to the present Convention with respect to trade in the species concerned.

ARTICLE XVI

Appendix III and Amendments thereto

1. Any Party may at any time submit to the Secretariat a list of species which it identifies as being subject to regulation within its jurisdiction for the purpose mentioned in paragraph 3 of Article II. Appendix III shall include the names of the Parties submitting the species for inclusion therein, the scientific names of the species so submitted, and any parts or derivatives of the animals or plants concerned that are specified in relation to the species for the purposes of sub-paragraph (b) of Article I.

2. Each list submitted under the provisions of paragraph 1 of this Article shall be communicated to the Parties by the Secretariat as soon as possible after receiving it. The list shall take effect as part of Appendix III 90 days after the date of such communication. At any time after the communication of such list, any Party may by notification in writing to the Depository Government enter a reservation with respect to any species or any parts or derivatives, and until such reservation is withdrawn, the State shall be treated as a State not a Party to the present Convention with respect to trade in the species or part or derivative concerned.

3. A Party which has submitted a species for inclusion in Appendix III may withdraw it at any time by notification to the Secretariat which shall communicate the withdrawal to all Parties. The withdrawal shall take effect 30 days after the date of such communication.

4. Any Party submitting a list under the provisions of paragraph 1 of this Article shall submit to the Secretariat a copy of all domestic laws and regulations applicable to the protection of such species, together with any interpretations which the Party may deem appropriate or the Secretariat may request. The Party shall, for as long as the species in question is included in Appendix III, submit any amendments of such laws and regulations or any new interpretations as they are adopted.

ARTICLE XVII

Amendment of the Convention

1. An extraordinary meeting of the Conference of the Parties shall be convened by the Secretariat on the written request of at least one-third of the Parties to consider and adopt amendments to the present Convention. Such amendments shall be adopted by a two-thirds majority of Parties present and voting. For these purposes "Parties present and voting" means Parties present and casting an affirmative or negative vote. Parties abstaining from voting shall not be counted among the two-thirds required for adopting an amendment.

2. The text of any proposed amendment shall be communicated by the Secretariat to all Parties at least 90 days before the meeting.

3. An amendment shall enter into force for the Parties which have accepted it 60 days after two-thirds of the Parties have deposited an instrument of acceptance of the amendment with the Depositary Government. Thereafter, the amendment shall enter into force for any other Party 60 days after that Party deposits its instrument of acceptance of the amendment.

ARTICLE XVIII

Resolution of Disputes

1. Any dispute which may arise between two or more Parties with respect to the interpretation or application of the provisions of the present Convention shall be subject to negotiation between the Parties involved in the dispute.

2. If the dispute cannot be resolved in accordance with paragraph 1 of this Article, the Parties may, by mutual consent, submit the dispute to arbitration, in particular that of the Permanent Court of Arbitration at The Hague and the Parties submitting the dispute shall be bound by the arbitral decision.

ARTICLE XIX

Signature

The present Convention shall be open for signature at Washington until 30th April 1973 and thereafter at Berne until 31st December 1974.

ARTICLE XX

Ratification, Acceptance, Approval

The present Convention shall be subject to ratification, acceptance or approval. Instruments of ratification, acceptance or approval shall be deposited with the Government of the Swiss Confederation which shall be the Depositary Government.

ARTICLE XXI

Accession

The present Convention shall be open indefinitely for accession. Instruments of accession shall be deposited with the Depositary Government.

ARTICLE XXII

Entry into Force

1. The present Convention shall enter into force 90 days after the date of deposit of the tenth instrument of ratification, acceptance, approval or accession, with the Depositary Government.⁽¹⁾

2. For each State which ratifies, accepts or approves the present Convention or accedes thereto after the deposit of the tenth instrument of ratification, acceptance, approval or accession, the present Convention shall enter into force 90 days after the deposit by such State of its instrument of ratification, acceptance, approval or accession.

ARTICLE XXIII

Reservations

1. The provisions of the present Convention shall not be subject to general reservations. Specific reservations may be entered in accordance with the provisions of this Article and Articles XV and XVI.

2. Any State may, on depositing its instrument of ratification, acceptance, approval or accession, enter a specific reservation with regard to:

(a) any species included in Appendix I, II or III; or

(b) any parts or derivatives specified in relation to a species included in Appendix III.

3. Until a Party withdraws its reservation entered under the provisions of this Article, it shall be treated as a State not a Party to the present Convention with respect to trade in the particular species or parts or derivatives specified in such reservation.

ARTICLE XXIV

Denunciation

Any Party may denounce the present Convention by written notification to the Depositary Government at any time. The denunciation shall take effect twelve months after the Depositary Government has received the notification.

⁽¹⁾ The Convention entered into force on 1 July 1975.

ARTICLE XXV

Depositary

1. The original of the present Convention, in the Chinese, English, French, Russian and Spanish languages, each version being equally authentic, shall be deposited with the Depositary Government, which shall transmit certified copies thereof to all States that have signed it or deposited instruments of accession to it.

2. The Depositary Government shall inform all signatory and acceding States and the Secretariat of signatures, deposit of instruments of ratification, acceptance, approval or accession, entry into force of the present Convention, amendments thereto, entry and withdrawal of reservations and notifications of denunciation.

3. As soon as the present Convention enters into force, a certified copy thereof shall be transmitted by the Depositary Government to the Secretariat of the United Nations for registration and publication in accordance with Article 102 of the Charter of the United Nations.

IN WITNESS WHEREOF the undersigned Plenipotentiaries, being duly authorized to that effect, have signed the present Convention.

DONE at Washington this third day of March, One Thousand Nine Hundred and Seventy-three.

[For signatures, ratifications and accessions see page 43]

APPENDIX I

Interpretation:

1. Species included in this Appendix are referred to:
 - (a) by the name of the species; or
 - (b) as being all of the species included in a higher taxon or designated part thereof.
2. The abbreviation " spp." is used to denote all species of a higher taxon.
3. Other references to taxa higher than species are for the purposes of information or classification only.
4. An asterisk (*) placed against the name of a species or higher taxon indicates that one or more geographically separate populations, sub-species or species of that taxon are included in Appendix II and that these populations, sub-species or species are excluded from Appendix I.
5. The symbol (—) followed by a number placed against the name of a species or higher taxon indicates the exclusion from that species or taxon of designated geographically separate populations, sub-species or species as follows:
 - 101 *Lemur catta*
 - 102 Australian population
6. The symbol (+) followed by a number placed against the name of a species denotes that only a designated geographically separate population or sub-species of that species is included in this Appendix, as follows:
 - +201 Italian population only
7. The symbol (†) placed against the name of a species or higher taxon indicates that the species concerned are protected in accordance with the International Whaling Commission's schedule of 1972.

FAUNA

MAMMALIA

Marsupialia

Macropodidae

Macropus parma
Onychogalea frenata
O. lunata
Lagorchestes hirsutus
Lagostrophus fasciatus
Caloprymnus campestris
Bettongia penicillata
B. lesueur
B. tropica

Phalangeridae

Wyulda squamicaudata

Burramyidae

Burramys parvus

Vombatidae

Lasiornhinus gillespiei

Peramelidae

Perameles bougainville
Chaeropus ecaudatus
Macrotis lagotis
M. leucura

Dasyuridae	<i>Planigale tenuirostris</i> <i>P. subtilissima</i> <i>Sminthopsis psammophila</i> <i>S. longicaudata</i> <i>Antechinomys laniger</i> <i>Myrmecobius fasciatus rufus</i>
Thylacinidae	<i>Thylacinus cynocephalus</i>
Primates	
Lemuridae	<i>Lemur</i> spp.* — 101 <i>Lepilemur</i> spp. <i>Haplemur</i> spp. <i>Allocebus</i> spp. <i>Cheirogaleus</i> spp. <i>Mirocebus</i> spp. <i>Phaner</i> spp.
Indriidae	<i>Indri</i> spp. <i>Propithecus</i> spp. <i>Avahi</i> spp.
Daubentoniidae	<i>Daubentonia madagascariensis</i>
Callithricidae	<i>Leontopithecus (Leontideus)</i> spp. <i>Callimico goeldii</i>
Cebidae	<i>Saimiri oerstedii</i> <i>Chiropotes albinasus</i> <i>Cacajao</i> spp. <i>Alouatta palliata (villosa)</i> <i>Ateles geoffroyi frontatus</i> <i>A. g. panamensis</i> <i>Brachyteles arachnoides</i>
Cercopithecidae	<i>Cercocebus galeritus galeritus</i> <i>Macaca silenus</i> <i>Colobus badius rufomitratu</i> <i>C. b. kirkii</i> <i>Presbytis geei</i> <i>P. pileatus</i> <i>P. entellus</i> <i>Nasalis larvatus</i> <i>Simias concolor</i> <i>Pygathrix nemaeus</i>
Hylobatidae	<i>Hylobates</i> spp. <i>Symphalangus syndactylus</i>
Pongidae	<i>Pongo pygmaeus pygamaeus</i> <i>P. p. abelii</i> <i>Gorilla gorilla</i>
Edentata	
Dasypodidae	<i>Priodontes giganteus (=maximus)</i>

Pholidota	
Manidae	<i>Manis temmincki</i>
Lagomorpha	
Leporidae	<i>Romerolagus diazi</i> <i>Caprolagus hispidus</i>
Rodentia	
Sciuridae	<i>Cynomys mexicanus</i>
Castoridae	<i>Castor fiber birulaia</i> <i>Castor canadensis mexicanus</i>
Muridae	<i>Zyzomys pedunculatus</i> <i>Leporillus conditor</i> <i>Pseudomys novaehollandiae</i> <i>P. praeconis</i> <i>P. shortridgei</i> <i>P. fumeus</i> <i>P. occidentalis</i> <i>P. fieldi</i> <i>Notomys aquilo</i> <i>Xeromys myoides</i>
Chinchillidae	<i>Chinchilla brevicaudata boliviana</i>
Cetacea	
Platanistidae	<i>Platanista gangetica</i>
Eschrichtidae	<i>Eschrichtius robustus (glaucus)†</i>
Balaenopteridae	<i>Balaenoptera musculus†</i> <i>Megaptera novaeangliae†</i>
Balaenidae	<i>Balaena mysticetus†</i> <i>Eubalaena spp.</i>
Carnivora	
Canidae	<i>Canis lupus monstrabilis</i> <i>Vulpes velox hebes</i>
Viverridae	<i>Prionodon pardicolor</i>
Ursidae	<i>Ursus americanus emmonsii</i> <i>U. arctos pruinosus</i> <i>U. arctos* +201</i> <i>U. a. nelsoni</i>
Mustelidae	<i>Mustela nigripes</i> <i>Lutra longicaudis (platensis/annectens)</i> <i>L. felina</i> <i>L. provocax</i> <i>Pteronura brasiliensis</i> <i>Aonyx microdon</i> <i>Enhydra lutris nereis</i>

Hyaenidae	<i>Hyaena brunnea</i>
Felidae	<i>Felis planiceps</i> <i>F. nigripes</i> <i>F. concolor coryi</i> <i>F. c. costaricensis</i> <i>F. c. cougar</i> <i>F. temmincki</i> <i>Felis bengalensis bengalensis</i> <i>F. yagouaroundi cacomitli</i> <i>F. y. fossata</i> <i>F. y. panamensis</i> <i>F. y. tolteca</i> <i>F. pardalis mearnsi</i> <i>F. p. mitis</i> <i>F. wiedii nicaraguae</i> <i>F. w. salvinia</i> <i>F. tigrina oncilla</i> <i>F. marmorata</i> <i>F. jacobita</i> <i>F. (Lynx) rufa escuinapae</i> <i>Neofelis nebulosa</i> <i>Panthera tigris*</i> <i>P. pardus</i> <i>P. uncia</i> <i>P. onca</i> <i>Acinonyx jubatus</i>
Pinnipedia	
Phocidae	<i>Monachus</i> spp. <i>Mirounga angustirostris</i>
Proboscidea	
Elephantidae	<i>Elephas maximus</i>
Sirenia	
Dugongidae	<i>Dugong dugon*</i> -- 102
Trichechidae	<i>Trichechus manatus</i> <i>T. inunguis</i>
Perissodactylia	
Equidae	<i>Equus przewalskii</i> <i>E. hemionus hemionus</i> <i>E. h. khur</i> <i>E. zebra zebra</i>
Tapiridae	<i>Tapirus pinchaque</i> <i>T. bairdii</i> <i>T. indicus</i>
Rhinocerotidae	<i>Rhinoceros unicornis</i> <i>R. sondaicus</i> <i>Didermocerus sumatrensis</i> <i>Ceratotherium simum cottoni</i>

Artiodactyla**Suidae**

Sus salvanius
Babirusa babirusa

Camelidae

Vicugna vicugna
Camelus bactrianus

Cervidae

Moschus moschiferus moschiferus
Axis (Hyelaphus) porcinus annamiticus
A. (Hyelaphus) calamianensis
A. (Hyelaphus) kuhlii
Cervus duvauceli
C. eldi
C. elaphus hanglu
Hippocamelus bisulcus
H. antisiensis
Blastoceros dichotomus
Ozotoceros bezoarticus
Pudu pudu

Antilocapridae

Antilocapra americana sonoriensis
A. a. peninsularis

Bovidae

Bubalus (Anoa) mindorensis
B. (Anoa) depressicornis
B. (Anoa) quarlesi
Bos gaurus
B. (grunniens) mutus
Novibos (Bos) sauveli
Bison bison athabascae
Kobus leche
Hippotragus niger variani
Oryx leucoryx
Damaliscus dorcas dorcas
Saiga tatarica mongolica
Nemorhaedus goral
Capricornis sumatraensis
Rupicapra rupicapra ornata
Capra falconeri jerdoni
C. f. megaceros
C. f. chiltanensis
Ovis orientalis ophion
O. ammon hodgsoni
O. vignei

AVES**Tinamiformes****Tinamidae**

Tinamus solitarius

Podicipediformes**Podicipedidae**

Podilymbus gigas

Procellariiformes

Diomedidae

Diomedea albatrus

Pelecaniformes

Sulidae

Sula abbotti

Fregatidae

Fregata andrewsi

Ciconiiformes

Ciconiidae

Ciconia ciconia boyciana

Threskiornithidae

Nipponia nippon

Anseriformes

Anatidae

Anas aucklandica nesiotis

Anas oustaleti

Anas laysanensis

Anas diazi

Cairina scutulata

Rhodonessa caryophyllacea

Branta canadensis leucopareia

Branta sandvicensis

Falconiformes

Cathartidae

Vultur gryphus

Gymnogyps californianus

Accipitridae

Pithecophaga jefferyi

Harpia harpyja

Haliaetus l. leucocephalus

Haliaetus heliaca adalberti

Haliaetus albicilla groenlandicus

Falconidae

Falco peregrinus anatum

Falco peregrinus tundrius

Falco peregrinus peregrinus

Falco peregrinus babylonicus

Galliformes

Megapodiidae

Macrocephalon maleo

Cracidae

Crax blumenbachii

Pipile p. pipile

Pipile jacutinga

Mitu mitu mitu

Oreophasis derbianus

Tetraonidae

Tympanuchus cupido attwateri

Phasianidae

Colinus virginianus ridgwayi

Tragopan blythii

Tragopan caboti

Phasianidae continued

Tragopan melanocephalus
Lophophorus sclateri
Lophophorus lhuysii
Lophophorus impejanus
Crossoptilon mantchuricum
Crossoptilon crossoptilon
Lophura swinhoii
Lophura imperialis
Lophura edwardsii
Syrmaticus ellioti
Syrmaticus humiae
Syrmaticus mikado
Polyplectron emphanum
Tetraogallus tibetanus
Tetraogallus caspius
Cyrtonyx montezumae merriami

Grulformes

Gruidae

Grus japonensis
Grus leucogeranus
Grus americana
Grus canadensis pulla
Grus canadensis nesiototes
Grus nigricollis
Grus vipio
Grus monacha

Rallidae

Tricholimnas sylvestris

Rhynochetidae

Rhynochetos jubatus

Otididae

Eupodotis bengalensis

Charadriiformes

Scolopacidae

Numenius borealis
Tringa guttifer

Laridae

Larus relictus

Columbiformes

Columbidae

Ducula mindorensis

Psittaciformes

Psittacidae

Strigops habroptilus
Rhynchopsitta pachyrhyncha
Amazona leucocephala
Amazona vittata
Amazona guildingii
Amazona versicolor
Amazona imperialis
Amazona rhodocorytha
Amazona petrei petrei
Amazona vinacea

Psittacidae *continued*

Pyrrhura cruentata
Anodorhynchus glaucus
Anodorhynchus leari
Cyanopsitta spixii
Pionopsitta pileata
Aratinga guaruba
Psittacula krameri echo
Psephotus pulcherrimus
Psephotus chrysopterygius
Neophema chrysogaster
Neophema splendida
Cyanoramphus novaezelandiae
Cyanoramphus auriceps forbesi
Geopsittacus occidentalis
Psittacus erithacus princeps

Apodiformes

Trochilidae

Ramphodon dohrnii

Trogoniformes

Trogonidae

Pharomachrus mocinno mocinno
Pharomachrus mocinno costaricensis

Strigiformes

Strigidae

Otus gurneyi

Coraciiformes

Bucerotidae

Rhinoplax vigil

Piciformes

Picidae

Dryocopus javensis richardsii
Campephilus imperialis

Passeriformes

Cotingidae

Cotinga maculata
Xipholena atro-purpurea

Pittidae

Pitta kochi

Atrichornithidae

Atrichornis clamosa

Muscicapidae

Picathartes gymnocephalus
Picathartes oreas
Psophodes nigrogularis
Amytornis goyderi
Dasyornis brachypterus longirostris
Dasyornis broadbenti littoralis

Sturnidae

Leucopsar rothschildi

Meliphagidae

Meliphaga cassidix

Zosteropidae

Zosterops albugularis

Fringillidae

Spinus cucullatus

AMPHIBIA

Urodela

Cryptobranchidae

Andrias (= *Megalobatrachus*) *davidianus japonicus*
Andrias (= *Megalobatrachus*) *davidianus davidianus*

Sallentia

Bufonidae

Bufo superciliaris
Bufo periglenes
Nectophrynoides spp.

Atelopodidae

Atelopus varius zeteki

REPTILIA

Crocodylia

Alligatoridae

Alligator mississippiensis
Alligator sinensis
Melanosuchus niger
Caiman crocodilus apaporiensis
Caiman latirostris

Crocodylidae

Tomistoma schlegelii
Osteolaemus tetraspis tetraspis
Osteolaemus tetraspis osborni
Crocodylus cataphractus
Crocodylus siamensis
Crocodylus palustris palustris
Crocodylus palustris kimbula
Crocodylus novaeguineae mindorensis
Crocodylus intermedius
Crocodylus rhombifer
Crocodylus moreletii
Crocodylus niloticus

Gavialidae

Gavialis gangeticus

Testudinata

Emydidae

Batagur baska
Geoclemmys (= *Damonia*) *hamiltonii*
Geoemyda (= *Nicoria*) *tricarinata*
Kachuga tecta tecta
Morenia ocellata
Terrapene coahuila

Testudinidae

Geochelone (= *Testudo*) *elephantopus*
Geochelone (= *Testudo*) *geometrica*
Geochelone (= *Testudo*) *radiata*
Geochelone (= *Testudo*) *yniphora*

Cheloniidae

Eretmochelys imbricata imbricata
Lepidochelys kempii

Trionychidae	<i>Lissemys punctata punctata</i> <i>Trionyx ater</i> <i>Trionyx nigricans</i> <i>Trionyx gangeticus</i> <i>Trionyx hurum</i>
Chelidae	<i>Pseudemydura umbrina</i>
Lacertilia Varanidae	<i>Varanus komodoensis</i> <i>Varanus flavescens</i> <i>Varanus bengalensis</i> <i>Varanus griseus</i>
Serpentes Boidae	<i>Epicrates inornatus inornatus</i> <i>Epicrates subflavus</i> <i>Python molurus molurus</i>
Rhynchocephalia Sphenodontidae	<i>Sphenodon punctatus</i>
PISCES	
Acipenseriformes Acipenseridae	<i>Acipenser brevirostrum</i> <i>Acipenser oxyrinchus</i>
Osteoglossiformes Osteoglossidae	<i>Scleropages formosus</i>
Salmoniformes Salmonidae	<i>Coregonus alpenae</i>
Cypriniformes Catostomidae	<i>Chasmistes cujus</i>
Cyprinidae	<i>Probarbus jullieni</i>
Siluriformes Schilbeidae	<i>Pangasianodon gigas</i>
Perciformes Percidae	<i>Stizostedion vitreum glaucum</i>
MOLLUSCA	
Naiadoida Unionidae	<i>Conradilla caelata</i> <i>Dromus dromas</i> <i>Epioblasma (=Dysnomia) florentina curtisi</i> <i>Epioblasma (=Dysnomia) florentina florentina</i> <i>Epioblasma (=Dysnomia) sampsoni</i> <i>Epioblasma (=Dysnomia) sulcata perobliqua</i>

Unionidae *continued*

Epioblasma (= *Dysnomia*) *torulosa gubernaculum*
Epioblasma (= *Dysnomia*) *torulosa torulosa*
Epioblasma (= *Dysnomia*) *turgidula*
Epioblasma (= *Dysnomia*) *walkeri*
Fusconaia cuneolus
Fusconaia edgariana
Lampsilis higginsi
Lampsilis orbiculata orbiculata
Lampsilis satura
Lampsilis virescens
Plethobasis cicatricosus
Plethobasis cooperianus
Pleurobema plenum
Potamilus (= *Proptera*) *capax*
Quadrula intermedia
Quadrula sparsa
Toxolasma (= *Carunculina*) *cylindrella*
Unio (*Megalonaias*?) *nickliniana*
Unio (*Lampsilis*?) *tampicoensis tecomatensis*
Villosa (= *Micromya*) *trabalis*

FLORA

Araceae	<i>Alocasia sanderiana</i> <i>Alocasia zebrina</i>
Caryocaraceae	<i>Caryocar costaricense</i>
Caryophyllaceae	<i>Gymnocarpos przewalskii</i> <i>Melandrium mongolicum</i> <i>Silene mongolica</i> <i>Stellaria pulvinata</i>
Cupressaceae	<i>Pilgerodendron uviferum</i>
Cycadaceae	<i>Encephalartos</i> spp. <i>Microcycas calocoma</i> <i>Stangeria eriopus</i>
Gentianaceae	<i>Prepusa hookeriana</i>
Humiriaceae	<i>Vantanea barbourii</i>
Juglandaceae	<i>Engelhardtia pterocarpa</i>
Leguminosae	<i>Ammopiptanthus mongolicum</i> <i>Cynometra hemitomophylla</i> <i>Platymiscium pleiostachyum</i>
Liliaceae	<i>Aloe albida</i> <i>Aloe pillansii</i> <i>Aloe polyphylla</i> <i>Aloe thorncroftii</i> <i>Aloe vossii</i>

Melastomataceae	<i>Lavoisiera itambana</i>
Meliaceae	<i>Guarea longipetiola</i> <i>Tachigalia versicolor</i>
Moraceae	<i>Batocarpus costaricense</i>
Orchidaceae	<i>Cattleya jongheana</i> <i>Cattleya skinneri</i> <i>Cattleya trianae</i> <i>Didiciea cunninghamii</i> <i>Laelia lobata</i> <i>Lycaste virginalis</i> var. <i>alba</i> <i>Peristeria elata</i>
Pinaceae	<i>Abies guatamalensis</i> <i>Abies nebrodensis</i>
Podocarpaceae	<i>Podocarpus costalis</i> <i>Podocarpus parlatoresi</i>
Proteaceae	<i>Orothamnus zeyheri</i> <i>Protea odorata</i>
Rubiaceae	<i>Balmea stormae</i>
Saxifragaceae (Grossulariaceae)	<i>Ribes sardoum</i>
Taxaceae	<i>Fitzroya cupressoides</i>
Ulmaceae	<i>Celtis aetnensis</i>
Welwitschiaceae	<i>Welwitschia bainesii</i>
Zingiberaceae	<i>Hedychium philippinense</i>

APPENDIX II

Interpretation:

1. Species included in this Appendix are referred to:
 - (a) by the name of the species; or
 - (b) as being all of the species included in a higher taxon or designated part thereof.
2. The abbreviation " spp." is used to denote all the species of a higher taxon.
3. Other references to taxa higher than species are for the purposes of information or classification only.
4. An asterisk (*) placed against the name of a species or higher taxon indicates that one or more geographically separate populations, sub-species or species of that taxon are included in Appendix I and that these populations, sub-species or species are excluded from Appendix II.
5. The symbol (‡) followed by a number placed against the name of a species or higher taxon designates parts or derivatives which are specified in relation thereto for the purposes of the present Convention as follows:
 - ‡1 designates root
 - ‡2 designates timber
 - ‡3 designates trunks
6. The symbol (—) followed by a number placed against the name of a species or higher taxon indicates the exclusion from that species or taxon of designated geographically separate populations, sub-species, species or groups of species as follows:
 - 101 Species which are not succulents
7. The symbol (+) followed by a number placed against the name of a species or higher taxon denotes that only designated geographically separate populations, sub-species or species of that species or taxon are included in this Appendix as follows:
 - +201 All North American sub-species
 - +202 New Zealand species
 - +203 All species of the family in the Americas
 - +204 Australian population

FAUNA

MAMMALIA

Marsupialia

Macropodidae

Dendrolagus inustus
Dendrolagus ursinus

Insectivora

Erinaceidae

Erinaceus frontalis

Primates

Lemuridae

Lemur catta

Lorisidae

Nycticebus coucang
Loris tardigradus

Cebidae

Cebus capucinus

Cercopithecidae	<i>Macaca sylvanus</i> <i>Colobus badius gordonorum</i> <i>Colobus verus</i> <i>Rhinopithecus roxellanae</i> <i>Presbytis johnii</i>
Pongidae	<i>Pan paniscus</i> <i>Pan troglodytes</i>
Edentata	
Myrmecophagidae	<i>Myrmecophaga tridactyla</i> <i>Tamandua tetradactyla chapadensis</i>
Bradypodidae	<i>Bradypus boliviensis</i>
Pholidota	
Manidae	<i>Manis crassicaudata</i> <i>Manis pentadactyla</i> <i>Manis javanica</i>
Lagomorpha	
Leporidae	<i>Nesolagus netscheri</i>
Rodentia	
Heteromyidae	<i>Dipodomys phillipsii phillipsii</i>
Sciuridae	<i>Ratufa</i> spp. <i>Lariscus hosei</i>
Castoridae	<i>Castor canadensis frondator</i> <i>Castor canadensis repentinus</i>
Cricetidae	<i>Ondatra zibethicus bernardi</i>
Canidae	<i>Canis lupus pallipes</i> <i>Canis lupus irremotus</i> <i>Canis lupus crassodon</i> <i>Chrysocyon brachyurus</i> <i>Cuon alpinus</i>
Ursidae	<i>Ursus (Thalarchtos) maritimus</i> <i>Ursus arctos*</i> +201 <i>Helarctos malayanus</i>
Procyonidae	<i>Ailurus fulgens</i>
Mustelidae	<i>Martes americana atrata</i>
Viveridae	<i>Prionodon linsang</i> <i>Cynogale bennetti</i> <i>Helogale derbianus</i>
Felidae	<i>Felis yagouaroundi*</i> <i>Felis colocolo pajeros</i> <i>Felis colocolo crespoui</i>

Felidae continued

Felis colocolo budini
Felis concolor missoulensis
Felis concolor mayensis
Felis concolor azteca
Felis serval
Felis lynx isabellina
*Felis wiedii**
*Felis pardalis**
*Felis tigrina**
Felis (=Caracal) caracal
Panthera leo persica
Panthera tigris altaica (=amurensis)

Pinnipedia
Otariidae

Arctocephalus australis
Arctocephalus galapagoensis
Arctocephalus philippii
Arctocephalus townsendi

Phocidae

Mirounga australis
Mirounga lionina

Tubulidentata
Orycteropidae

Orycteropus afer

Sirenia
Dugongidae

*Dugong dugon** +204

Trichechidae

Trichechus senegalensis

Perissodactyla
Equidae

*Equus hemionus**

Tapiridae

Tapirus terrestris

Rhinocerotidae

Diceros bicornis

Artiodactyla
Hippopotamidae

Choeropus liberiensis

Cervidae

Cervus elaphus bactrianus
Pudu mephistophiles

Antilocapridae

Antilocapra americana mexicana

Bovidae

Cephalophus monticola
Oryx (tao) dammah
Addax nasomaculatus
Pantholops hodgsoni
*Capra falconeri**
*Ovis ammon**
Ovis canadensis

AVES

Sphenisciformes Spheniscidae	<i>Spheniscus demersus</i>
Rheiformes Rheidae	<i>Rhea americana albescens</i> <i>Pterocnemia pennata pennata</i> <i>Pterocnemia pennata garleppi</i>
Tinamiformes Tinamidae	<i>Rhynchotus rufescens rufescens</i> <i>Rhynchotus rufescens pallescens</i> <i>Rhynchotus rufescens maculicollis</i>
Ciconiiformes Ciconiidae	<i>Ciconis nigra</i>
Threskiornithidae	<i>Geronticus calvus</i> <i>Platalea leucorodia</i>
Phoenicopteridae	<i>Phoenicopterus ruber chilensis</i> <i>Phoenicoparrus andinus</i> <i>Phoenicoparrus jamesi</i>
Pelecaniformes Pelecanidae	<i>Pelecanus crispus</i>
Anseriformes Anatidae	<i>Anas aucklandica aucklandica</i> <i>Anas aucklandica chlorotis</i> <i>Anas bernieri</i> <i>Dendrocygna arborea</i> <i>Sarkidiornis melanotos</i> <i>Anser albifrons gambelli</i> <i>Cygnus bewickii jankowskii</i> <i>Cygnus melancoryphus</i> <i>Coscoroba coscoroba</i> <i>Branta ruficollis</i>
Falconiformes Accipitridae	<i>Gypaetus barbatus meridionalis</i> <i>Aquila chrysaetos</i>
Falconidae	Spp.*
Galliformes Megapodiidae	<i>Megapodius freycinet nicobariensis</i> <i>Megapodius freycinet abbottii</i>
Tetraonidae	<i>Tympanuchus cupido pinnatus</i>
Phasianidae	<i>Francolinus ochropectus</i> <i>Francolinus swierstrai</i> <i>Catreus wallichii</i>

Phasianidae *continued*

Polyplectron malacense
Polyplectron germaini
Polyplectron bicalcaratum
Gallus sonneratii
Argusianus argus
Ithaginus cruentus
Cyrtonyx montezumae montezumae
Cyrtonyx montezumae mearnsi

Gruiformes
Gruidae

Balearica regulorum
Grus canadensis pratensis

Rallidae

Gallirallus australis hectori

Otididae

Chlamydotis undulata
Choriotis nigriceps
Otis tarda

Charadriiformes
Scolopacidae

Numenius tenuirostris
Numenius minutus

Laridae

Larus brunneicephalus

Columbiformes
Columbidae

Gallicolumba luzonica
Goura cristata
Goura scheepmakeri
Goura victoria
Caloenas nicobarica pelewensis

Psittaciformes
Psittacidae

Coracopsis nigra barklyi
Prosopeia personata
Eunymphicus cornutus
Cyanoramphus unicolor
Cyanoramphus novaezelandiae
Cyanoramphus malherbi
Poicephalus robustus
Tanygnathus luzoniensis
Probosciger aterrimus

Cuculiformes
Musophagidae

Turaco corythaix
Gallirex porphyreolophus

Strigiformes
Strigidae

Otus nudipes newtoni

Coraciiformes
Bucerotidae

Buceros rhinoceros rhinoceros
Buceros bicornis
Buceros hydrocorax hydrocorax
Aceros narcondami

Piciformes
Picidae *Picus squamatus flavirostris*

Passeriformes
Cotingidae *Rupicola rupicola*
Rupicola peruviana

Pittidae *Pitta brachyura nympha*

Hirundinidae *Pseudochelidon sirintarae*

Paradisaeidae Spp.

Muscicapidae *Muscicapa ruecki*

Fringillidae *Spinus yarrellii*

AMPHIBIA

Urodela
Ambystomidae *Ambystoma mexicanum*
Ambystoma dumerillii
Ambystoma lermaensis

Salientia
Bufonidae *Bufo retiformis*

REPTILIA

Crocodylia
Alligatoridae *Caiman crocodilus crocodilus*
Caiman crocodilus yacare
Caiman crocodilus fuscus (chiapasius)
Paleosuchus palpebrosus
Paleosuchus trigonatus

Crocodylidae *Crocodylus johnsoni*
Crocodylus novaeguineae novaeguineae
Crocodylus porosus
Crocodylus acutus

Testudinata
Emydidae *Clemmys muhlenbergi*

Testudinidae *Chersine* spp.
Geochelone spp.*
Gopherus spp.
Homopus spp.
Kinixys spp.
Malacochersus spp.
Pyxis spp.
Testudo spp.*

Cheloniidae	<i>Caretta caretta</i> <i>Chelonia mydas</i> <i>Chelonia depressa</i> <i>Eretmochelys imbricata bissa</i> <i>Lepidochelys olivacea</i>
Dermochelidae	<i>Dermochelys coriacea</i>
Pelomedusidae	<i>Podocnemis</i> spp.
Lacertilia	
Teiidae	<i>Cnemidophorus hyperythrus</i>
Iguanidae	<i>Conolophus pallidus</i> <i>Cololophus subcristatus</i> <i>Amblyrhynchus cristatus</i> <i>Phrynosoma coronatum blainvillei</i>
Helodermatidae	<i>Heloderma suspectum</i> <i>Heloderma horridum</i>
Varanidae	<i>Varanus</i> spp.*
Serpentes	
Boidae	<i>Epicrates cenchris cenchris</i> <i>Eunectes notaeus</i> <i>Constrictor constrictor</i> <i>Python</i> spp.*
Colubridae	<i>Cyclagras gigas</i> <i>Pseudoboa cloelia</i> <i>Elachistodon westermanni</i> <i>Thamnophis elegans hammondi</i>

PISCES

Acipenseriformes	
Acipenseridae	<i>Acipenser fulvescens</i> <i>Acipenser sturio</i>
Osteoglossiformes	
Osteoglossidae	<i>Arapaima gigas</i>
Salmoniformes	
Salmonidae	<i>Stenodus leucichthys leucichthys</i> <i>Salmo chrysogaster</i>
Cypriniformes	
Cyprinidae	<i>Plagopterus argentissimus</i> <i>Ptychocheilus lucius</i>

Atheriniformes
Cyprinodontidae

Cynolebias constanciae
Cynolebias marmoratus
Cynolebias minimus
Cynolebias opalescens
Cynolebias splendens

Poeciliidae

Xiphophorus couchianus

Coelacanthiformes
Coelacanthidae

Latimeria chalumnae

Ceratodiformes
Ceratodidae

Neoceratodus forsteri

MOLLUSCA

Naiadoida
Unionidae

Cyprogenia aberti
Epioblasma (=Dysnomia) torulosa rangiana
Fusconaia subrotunda
Lampsilis brevicula
Lexingtonia dolabelloides
Pleorobema clava

Stylommatophora
Camaenidae

Papustyla (=Papuina) pulcherrima

Paraphantidae

Paraphanta spp. +202

Prosobranchia
Hydrobiidae

Coahuilix hubbsi
Cochliopina milleri
Durangonella coahuilae
Mexipyrgus carranzae
Mexipyrgus churinceanus
Mexipyrgus escobeda
Mexipyrgus lugoi
Mexipyrgus mojarralis
Mexipyrgus multilineatus
Mexithauma quadripaludium
Nymphophilus minckleyi
Paludiscala caramba

INSECTA

Lepidoptera
Papilionidae

Parnassius apollo apollo

FLORA

Apocynaceae

Pachypodium spp.

Araliaceae

Panax quinquefolium †1

Araucariaceae

Araucaria araucana †2

Cactaceae	<i>Cactaceae</i> spp. +203 <i>Rhipsalis</i> spp.
Compositae	<i>Saussurea lappa</i> †1
Cyatheaceae	<i>Cyathea (Hemitelea) capensis</i> †3 <i>Cyathea dredgei</i> †3 <i>Cyathea mexicana</i> †3 <i>Cyathea (Alsophila) salvinii</i> †3
Dioscoreaceae	<i>Dioscorea deltoidea</i> †1
Euphorbiaceae	<i>Euphorbia</i> spp. —101
Fagaceae	<i>Quercus copeyensis</i> †2
Leguminosae	<i>Thermopsis mongolica</i>
Liliaceae	<i>Aloe</i> spp.*
Meliaceae	<i>Swietenia humilis</i> †2
Orchidaceae	Spp.*
Palmae	<i>Arenga ipot</i> <i>Phoenix hanceana</i> var. <i>philippinensis</i> <i>Zalacca clemensiana</i>
Portulacaceae	<i>Anacampseros</i> spp.
Primulaceae	<i>Cyclamen</i> spp.
Solanaceae	<i>Solanum sylvestris</i>
Sterculiaceae	<i>Basiloxylon excelsum</i> †2
Verbenaceae	<i>Caryopteris mongolica</i>
Zygophyllaceae	<i>Guaiacum sanctum</i> †2

[Appendix III: see Article II, paragraph 3, and Article XVI.]

APPENDIX IV

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED
SPECIES OF WILD FAUNA AND FLORA

Export Permit No.....

Exporting Country:

Valid Until: (Date)

This permit is issued to.....

Address.....

who declares that he is aware of the provisions of the Convention, for the purpose

of exporting.....

(specimen(s), or part(s) or derivative(s) of specimen(s))(1)
of a species listed in Appendix I(2)
Appendix II(2)
Appendix III of the Convention as specified below(2)

(bred in captivity or cultivated in.....)(2)

This (these) specimen(s) is (are) consigned to

Address..... Country

at..... on.....

(Signature of the applicant for the permit)

at..... on.....

(Stamp and signature of the Management Authority
issuing the export permit)

(1) Indicate the type of product.
(2) Delete if not applicable.

Description of the specimen(s) or part(s) or derivative(s) of specimen(s), including any mark(s) affixed:

Living Specimens

Species (scientific and common name)	Number	Sex	Size (or volume)	Mark (if any)

Parts or Derivatives

Species (scientific and common name)	Quantity	Type of Goods	Mark (if any)

Stamps of the authorities inspecting:

- (a) on exportation
- (b) on importation*

* This stamp voids this permit for further trade purposes, and this permit shall be surrendered to the Management Authority.

SIGNATURES AND RATIFICATIONS

<i>State</i>	<i>Date of signature</i>	<i>Date of deposit of instrument of ratification</i>
Argentine Republic	3 Mar. 1973	
Australia	21 Sept. 1973	29 Jul. 1976
Bangladesh	7 Aug. 1973	
Belgium	3 Mar. 1973	
Bolivia	23 Dec. 1974	
Brazil	3 Mar. 1973	6 Aug. 1975
Canada	2 Jul. 1974	10 Apr. 1975 ⁽²⁾
Chile	16 Sep. 1974	14 Feb. 1975
China (Nationalist authorities)* ...	27 Apr. 1973	
Colombia	4 Jun. 1973	
Costa Rica	3 Mar. 1973	30 Jun. 1975
Cyprus	3 Mar. 1973	18 Oct. 1974
Denmark	3 Mar. 1973	
Ecuador	12 Dec. 1974	11 Feb. 1975
Egypt	7 Jun. 1974	
France	3 Mar. 1973	
Germany, Federal Republic of ...	3 Mar. 1973	22 Mar. 1976 ⁽³⁾
Ghana	16 Dec. 1974	14 Nov. 1975
Guatemala	3 Mar. 1973	
India	9 Jul. 1974	20 Jul. 1976
Iran	3 Mar. 1973	
Ireland, Republic of	1 Nov. 1974	
Israel	5 Mar. 1973	
Italy	3 Mar. 1973	
Japan	30 Apr. 1973	
Kenya	30 Apr. 1973	
Khmer Republic	7 Dec. 1973	
Kuwait	9 Apr. 1974	
Lesotho	17 Jul. 1974	
Luxembourg	3 Mar. 1973	
Malagasy Republic	4 Apr. 1973	20 Aug. 1975
Mauritius	3 Mar. 1973	28 Apr. 1975
Morocco	9 Mar. 1973	16 Oct. 1975
Netherlands	30 Dec. 1974	
Niger	5 Mar. 1973	8 Sep. 1975
Nigeria	11 Feb. 1974	9 May 1974
Norway	23 Dec. 1974	27 Jul. 1976
Panama	3 Mar. 1973	
Paraguay	30 Apr. 1973	
Peru	30 Dec. 1974	27 Jun. 1975
Philippines	3 Mar. 1973	
Poland	8 Oct. 1973	
Portugal	6 Dec. 1974	
South Africa	3 Mar. 1973	15 Jul. 1975

* Not recognised by the United Kingdom.

SIGNATURES AND RATIFICATIONS

<i>State</i>	<i>Date of signature</i>	<i>Date of deposit of instrument of ratification</i>
Soviet Union	29 Mar. 1974	9. 9. 76
Sudan	27 Apr. 1973	
Sweden	3 Apr. 1973	20 Aug. 1974
Switzerland	2 Apr. 1973	9 Jul. 1974
Tanzania	30 Apr. 1973	
Thailand	3 Mar. 1973	
Togo	7 Mar. 1973	
Tunisia	21 Mar. 1973	10 Jul. 1974
United Kingdom	3 Mar. 1973	2 Aug. 1976 ⁽⁴⁾ ⁽⁵⁾
United States of America ...	3 Mar. 1973	14 Jan. 1974
Uruguay	9 Jan. 1974	2 Apr. 1975
Venezuela	3 Mar. 1973	
Vietnam, Republic of	3 Mar. 1973	

ACCESSIONS

<i>State</i>	<i>Date of deposit</i>
Finland	10 May 1976
German Democratic Republic ...	9 Oct. 1975
Nepal	18 Jun. 1975
Pakistan	20 Apr. 1976
Papua New Guinea	12 Dec. 1975
United Arab Emirates	21 Nov. 1974
Zaire	20 Jul. 1976

(2) In depositing their Instrument of Ratification, the Government of Canada, in accordance with Article XXIII of the Convention, entered specific reservations with regard to the following species included in Appendices I and II:

APPENDIX I

Mammalia	<i>Eschrichtius robustus (glaucus)</i> <i>Balaenoptera musculus</i> <i>Megaptera novaeangliae</i> <i>Balaena mysticetus</i> <i>Eubalaena</i> spp. <i>Ursus americanus emmonsii</i> <i>Felis concolor cougar</i> <i>Bison bison athabascae</i>
Aves	<i>Branta canadensis leucopareia</i>
Pisces	<i>Acipenser brevirostrum</i> <i>Acipenser oxyrhynchus</i> <i>Coregonus alpenae</i> <i>Stizostedium vitreum glaucum</i>

APPENDIX II

Mammalia	<i>Canis lupus irremotus</i> <i>Canis lupus crassodon</i> <i>Ursus (Thalarctos) maritimus</i> <i>Ursus arctos</i> ‡ <i>Martes americana atrata</i> <i>Felis concolor missoulensis</i> <i>Ovis canadensis</i>
Aves	<i>Anser albifrons gambelli</i> <i>Aquila chrysaetos</i> <i>Falconidae</i> spp. †
Pisces	<i>Acipenser fulvescens</i>
Flora	<i>Cactaceae</i> spp. † <i>Orchidaceae</i> spp. †

(3) Applies to Berlin (West).

(4) The United Kingdom ratification is in respect of the United Kingdom of Great Britain and Northern Ireland, The Bailiwick of Guernsey, The Bailiwick of Jersey, Isle of Man, Belize, Bermuda, British Indian Ocean Territory, British Virgin Islands, Falkland Islands, Gibraltar, Gilbert Islands, Hong Kong, Montserrat, Pitcairn, Saint Helena and Dependencies (Tristan da Cunha, Ascension Islands), Tuvalu.

(5) In accordance with the provisions of Article XXIII the United Kingdom instrument of ratification contains a reservation in respect of Hong Kong with regard to all species of reptilia listed in Appendices I and II and to the species *elephas maximus* in Appendix I.

† All species or subspecies not included in Appendix I.

‡ All Northern American subspecies.

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